



**43<sup>rd</sup> INTERNATIONAL ISoCaRP CONGRESS**  
**KEYNOTE SPEECH – OPENING DAY, 20 SEPTEMBER 2007**  
**ANTWERP, Belgium**



**KEYNOTE SPEECH**

**JUDITH ELEANOR INNES**  
**Professor of City and Regional Planning**

Judith Innes holds a Ph.D. from MIT's Department of Urban Studies and Planning and an undergraduate degree in English from Harvard University. She has done research on the processes of planning and decision making across a wide range of substantive topics, including land use and environmental policy, water management, growth management, transportation, human rights, environmental justice and social policy. Her recent interests have focused on collaborative policy making and action at the state and regional levels, particularly in environmental and growth policy. She is currently writing a book on the theory and practice of collaborative decision making with David Booher. She maintains a continuing interest in the use of information in planning and public policy and in how to improve this. She has taught planning theory for many years and developed many of the ideas and research to build an understanding of communicative planning. She believes that the next agenda for planning thought and planning practice must be about how to address contemporary challenges to the traditional institutions and practices of decision making and how to develop new concepts of governance to deal with collaboration and with the many voices and competing versions of reality that confront planners today.

Professor Innes' teaching has spanned courses in land use and environmental policy, urban social structure and processes in the multiethnic city, research methods for masters students and for doctoral students, introduction to planning practice, organizational behavior in government agencies, land use studios, indicators for policy and planning, contemporary theory of planning, and methods of negotiation and collaboration. She will begin teaching metropolitan governance and planning in fall 2006.

From 1993 through 2003, Dr. Innes was the Director of the Institute of Urban and Regional Development, a campuswide organized research unit addressing a wide range of topics through externally funded faculty and student research. In her capacity as Director she also directed the Community Partnerships office (former the University Oakland Metropolitan Forum) and was involved in managing a variety of community development efforts, action research, and community-based learning projects in partnership with localities, foundations and NGO's.

She is author, editor or coauthor of more than 50 articles and book chapters, three books and two major monographs. Her articles have been translated into Chinese, French, Italian, and Korean. She has given presentations on her research in countries around the world.



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**Books and Monographs**

Knowledge and Public Policy: The Search for Meaningful Indicators, Transaction Books, Rutgers, New Brunswick, New Jersey 1990; The Land Use Policy Debate in the United States, (ed) Plenum Press, New York 1981; Social Indicators and Public Policy: Interactive Processes of Design and Application, Elsevier Scientific Publishing Co. Amsterdam 1975; Bay Area Transportation Decision Making in the Wake of ISTE: Planning Styles in Conflict at MTC, with Judith Gruber. University of California Transportation Center, Berkeley CA. 2001. <http://www.uctc.net/papers/papersalpha.html#!>; Coordinating Growth and Environmental Management Through Consensus Building, California Policy Seminar Policy Research Program Report, University of California at Berkeley, December 1994. With J. Gruber, M. Neuman and B. Thompson, <http://www.ucop.edu/cprc/innes1.pdf> and <http://www.ucop.edu/cprc/innes2.pdf>.

**Recent Articles and Reports**

“Complex and Adaptive Policy Systems: CALFED as an Emergent Form of Governance for Sustainable Management of Contested Resources” (with David E. Booher) *Proceedings of the 50<sup>th</sup> Annual Meeting of the ISSS*. <http://journals.iss.org/index.php/proceedings50th/article/view/295/68>

“Collaborative Regional Initiatives: Civic Entrepreneurs Work to Fill the Governance Gap” (with Jane Rongerude), *Insight*, James Irvine Foundation and Institute of Urban and Regional Development, University of California Berkeley, Working paper 2006- 04, January 2006. [http://www-iurd.ced.berkeley.edu/workingpapers\\_2000-present.htm](http://www-iurd.ced.berkeley.edu/workingpapers_2000-present.htm)

“Collaborative Governance in the CALFED Program: Adaptive Policy Making for California Water” (with Sarah Connick, Laura Kaplan and David E. Booher). Working Paper # 2006-01 Institute of Urban and Regional Development, University of California Berkeley, CA, January 2006. [http://www-iurd.ced.berkeley.edu/workingpapers\\_2000-present.htm](http://www-iurd.ced.berkeley.edu/workingpapers_2000-present.htm)

“Reframing Public Participation: Strategies for the 21<sup>st</sup> Century” (with David E. Booher) *Planning Theory and Practice*, 5, 4, 419-436, 2005.

“Planning Styles in Conflict: The Metropolitan Transportation Commission” (With Judith Gruber), *Journal of The American Planning Association*. 71, 2, Spring, 177-188, 2005.

“Outcomes of Collaborative Water Policy Making: Applying Complexity Thinking to Evaluation” with Sarah Connick, *Journal of Environmental Planning and Management* 46, 2, March, pp. 177-197, 2003.

“Collaborative Policy Making: Governance Through Dialogue,” (with David E. Booher) Chapter 1 in *Deliberative Policy Analysis: Understanding Governance in the Network Society*, Hajer, Maarten and Hendrik Wagenaar eds., Cambridge University Press. 2003.

**Current Courses**

CP 280A Research Design for the Ph.D.  
CP 290 Metropolitan Governance and Planning  
CP 281 Planning Theory and Practice  
CP 209 Collaborative Methods of Planning

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**Areas of Interest**

Planning Theory; Planning Process; Collaborative Planning; Research Methods; Urban Social Structure and Equity; Water Policy; Transportation Policy; Metropolitan Governance

**Education**

Ph.D. Urban Studies and Planning, Massachusetts Institute of Technology  
B.A. Harvard College, English Literature



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***Collaborative Dialogue as a Planning Strategy:  
Practices and Principles***

**Professor Judith E. Innes  
University of California, Berkeley**

Collaborative planning is a challenging task, but drawing stakeholders together in dialogue over time may be the only way to address a complex and controversial problem and move away from stalemate or ongoing hostilities. It is not a panacea however as it can only be usefully attempted when the conditions are right—in particular when a diverse group of interdependent players see their interests as better served by collaboration than by working alone and when the conditions for authentic dialogue can be created. Resources are needed as well and some indication that public agencies may act on what emerges from the process. Collaborative planning under these conditions can not only forge complex agreements among competing stakeholders, but it can also have important second and third order effects, including building networks, shared knowledge and institutional capacity that will all contribute to innovations and long term improvements in the situation.

This talk will outline best practices and theoretical underpinnings for collaborative planning based on decades of research and practice. It will use case examples to illustrate the ideas. The cases will include a city wide participatory dialogue in Cincinnati designed to dampen racial conflicts and create improved police community relations and an effort to manage a major California water system for sustainability in the face of competing urban and environmental demands on the limited water supply and in the face of sectoral agencies with differing agendas. The lessons are relevant across many aspects of city and regional planning and the principles and practices have had wide application in many arenas around the world. It is time for cities and regions to add collaborative planning to their repertoire of tools for operating in a fragmented, globalized and uncertain world.